

**FLEIT, KAIN,
GIBBONS, GUTMAN
BONGINI & BIANCO P.L.**
ATTORNEYS AT LAW

Miami • Fort Lauderdale • Boca Raton

Fleit, Kain, Gibbons, Gutman,
Bongini & Bianco P.L.
551 NW 77th Street, Suite 111
Boca Raton, Florida 33487
Telephone: (561) 989-9811
Facsimile: (561) 989-9812
writer's e-mail: jgutman@FocusOnIP.com

OFFICIAL

**RECEIVED
CENTRAL FAX CENTER**

TELECOPIER TRANSMITTAL FORM

MAR 17 2004

DATE: March 17, 2004
FIRM: USPTO, Group Art Unit 2157
FAX #: (703) 872-9306
FROM: Jose Gutman

**TOTAL NUMBER OF PAGES: 11
(INCLUDING THIS PAGE)**

IF YOU DO NOT RECEIVE ALL PAGES CLEARLY, PLEASE CONTACT US IMMEDIATELY

MESSAGE:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John K. ALEX et al.

Serial No.: 10/675,828

For: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

Enclosed are the following:

Transmittal letter (1 page); and Preliminary Amendment (9 pages)

Docket No.: POU920030132US1

140-P0025

March 17, 2004

CONFIDENTIALITY NOTE: THE INFORMATION CONTAINED IN THIS TRANSMISSION IS LEGALLY PRIVILEGED AND CONFIDENTIAL, INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU RECEIVE THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE (COLLECT) AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE LISTED ADDRESS VIA THE U.S. POSTAL SERVICE. WE WILL REIMBURSE YOU FOR POSTAGE AND/OR TELEPHONE EXPENSES INVOLVED. THANK YOU.

"Focus on IP"

www.FocusOnIP.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Atty. Docket No. POU920030132US1
 John K. ALEX, et al. : Group Art Unit: 2157
 Serial No. 10/675,828 : Examiner: Not Yet Assigned
 Filed: September 30, 2003 : Confirmation No. 6637
 For: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

TRANSMITTAL**MS NON-FEE AMENDMENT**

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

SIR:

Transmitted herewith is a preliminary amendment in the above-identified application, and the following:
☒ No additional filing fee is required.

The fee has been calculated as shown below. *(Small entity fees indicated in parentheses.)*

CLAIMS AS AMENDED						
(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Claims Remaining After Amendment		Highest Number Previously Paid For	Extra Claims	Rate	Fee
Total Claims <i>(Small Entity)</i>	24	-	24	0	18.00 <i>(9.00)</i>	0
Independent claims <i>(Small Entity)</i>	5	-	5	0	86.00 <i>(43.00)</i>	0
Multiple Dependent <i>(Small Entity)</i>	0	-	0	0	290.00 <i>(145.00)</i>	0
Extension of Time Fee <i>(Small Entity)</i>	One Month \$110 <i>(\$55)</i>		Two Months \$420 <i>(\$210)</i>	Three Months \$950 <i>(\$475)</i>		0
Total						\$0.00

XX The Commissioner is hereby authorized to charge any required fees to Deposit Account No. 09-0463.

Respectfully submitted,

Date:

3/17/04

By:

Jose Gutman
 Jose Gutman
 Reg. No. 35,171

Customer No. 23334

Fleit, Kain, Gibbons, Gutman, Bongini & Bianco P.L.
 551 NW 77th Street, Suite 111
 Boca Raton, Florida 33487
 Telephone: (561) 989-9811
 Facsimile : (561) 989-9812

Appl. No. 10/675,828
Amdt. dated 11/21/2003

RECEIVED
CENTRAL FAX CENTER

MAR 17 2004

OFFICIAL

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/675,828 Confirmation No.: 6637
Applicant : John K. ALEX et al.
Filed : September 30, 2003
Art Unit : 2157
Examiner : Not Yet Assigned
Docket No. : POU920030132US1
Customer No. : 23334

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

VIA FACSIMILE (703) 872-9306

MS-NON FEE AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.

I hereby certify that this correspondence
is being facsimile transmitted to the
United States Patent and Trademark Office
on March 17, 2004

Date of Transmission

Karen Taragowski
Applicant, Assignee, or Representative

Karen Taragowski 3/17/04
Signature Date